

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.            | FILING DATE    | FIRST NAMED INVENTOR   | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |  |
|----------------------------|----------------|------------------------|-------------------------|------------------|--|--|
| 09/596,073                 | 06/16/2000     | William J. Bologna     | 254/304                 | 2949             |  |  |
| 22249 7                    | 590 12/17/2001 |                        |                         |                  |  |  |
| LYON & LY                  | · · · ·        | EXAMINER               |                         |                  |  |  |
| 633 WEST FIF<br>SUITE 4700 | TH STREET      | PRYOR, ALTON NATHANIEL |                         |                  |  |  |
| LOS ANGELE                 | S, CA 90071    |                        | ART UNIT                | PAPER NUMBER     |  |  |
|                            |                |                        | 1616                    | 10               |  |  |
|                            |                |                        | DATE MAILED: 12/17/2001 | ( •              |  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No. 09/596,073 Applicant(s)

Examiner

Art Unit

Bologna

|  | 1 | ı | ı | H | I | I |  | I | I |
|--|---|---|---|---|---|---|--|---|---|

1616 Alton Prvor -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on *Oct 25, 2001* 2a) This action is **FINAL**. 2b) X This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. Disposition of Claims 4) X Claim(s) 1-7, 10, and 14-30 is/are pending in the application. 4a) Of the above, claim(s) \_\_\_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) 6) X Claim(s) 1-7, 10, and 14-30 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claims are subject to restriction and/or election requirement. **Application Papers** 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are objected to by the Examiner. 11)□ The proposed drawing correction filed on is: a)□ approved b)□ disapproved. 12)  $\square$  The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a)  $\square$  All b)  $\square$  Some\* c)  $\square$  None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \*See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) 15) Notice of References Cited (PTO-892) 18) Interview Summary (PTO-413) Paper No(s). 16) Notice of Draftsperson's Patent Drawing Review (PTO-948) 19) Notice of Informal Patent Application (PTO-152) 17) Information Disclosure Statement(s) (PTO-1449) Paper No(s). 7-9

Application/Control Number: 09596073

Art Unit: 1616

Applicant's arguments with respect to claim1-7,10,14-30 have been considered but are most in view of the new ground(s) of rejection.

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.
- 2. Claims 1,3-5,7,14-16,21-25,29,30 are rejected under 35 U.S.C. 102(a,e) as being anticipated by Timpe et al (US 6063404; 5/16/00). Timpe teaches a method of delivering to a mammal a sex hormone (testosterone) comprising administering said hormone via a bioadhesive composition to a mucosal surface (mouth, vaginal, rectum) of the mammal wherein the composition is formulated as a tablet that includes
  - (a) said hormone
  - (b) a bioadhesive, water insoluble cross-linked polycarboxylic polymer (polycarbophil)
  - (c) a water soluble polymer (carbopol).

Timpe does not specifically disclose that the prior art tablet would progressively hydrate.

However since the prior art tablet is composed of the same ingredients as the instant tablet, it is inherent that the prior art tablet would also progressively hydrate at the time Timpe invention was

Application/Control Number: 09596073 Page 3

Art Unit: 1616

made. See abstract, column 1 lines 22-44, column 2 lines 50-65, column 3 line 3 - column 4 line 40.

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 1-7,10,14-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Timpe above. Timpe teaches a method of delivering to a mammal a sex hormone (testosterone) comprising administering said hormone via a bioadhesive composition to a mucosal surface (mouth, vaginal, rectum) of the mammal wherein the composition is formulated as a tablet that includes
  - (a) said hormone
  - (b) a bioadhesive, water insoluble cross-linked polycarboxylic polymer (polycarbophil)
  - (c) a water soluble polymer (carbopol).

Timpe does not specifically disclose that the prior art tablet would progressively hydrate. However since the prior art tablet is composed of the same ingredients as the instant tablet, it is obvious that the prior art tablet would also progressively hydrate at the time Timpe invention was made. See abstract, column 1 lines 22-44, column 2 lines 50-65, column 3 line 3 - column 4 line 40.

Application/Control Number: 09596073 Page 4

Art Unit: 1616

Timpe does not teach the instant amount range of said hormone. However, one having ordinary skill in the art would have been expected to determine the optimum amounts through routine experimentation. One would have been motivated to do this in order to develop a method / composition that would deliver the optimum amount of said hormone to the mucosal surface.

## Telephonic Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alton Pryor whose telephone number is (703) 308-4691. The examiner can normally be reached on Monday through Friday from 8:00 a.m. to 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jose Dees, can be reached on (703) 308-4628. The fax phone number for this Group is (703) 308-4556.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-1235.

Alton Pryor

Alta I Pm

Primary Examiner, AU 1616

12/12/01